



#8B
11/18/02
aror

A-69366/RBC/LM /

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LIU et al.

Serial No.: 09/628,567

Filed: 31 July 2000

Title: AN IPO TRANSACTION
SYSTEM (Amended)

Examiner: SANDERS, ALLYSON N.

Art Unit: 4144

Batch No.: (Unassigned)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelop addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on September 30, 2002.

By:

Grace de Bos

RECEIVED
OCT - 9 2002
TECHNOLOGY CENTER 2800

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

AMENDMENT

In response to the non-final Office Action mailed March 28, 2002, the Applicants respectfully request the amendment of the Application as follows:

THE CLAIMS

Under 37 CFR 1.121(c), the Applicant amends the claims according to the attached Claim Rewriting with All Changes Included. The Applicant includes a Clean Version of the Entire Set of Pending Claims as well. See 37 CFR 1.121(c)(3).

REMARKS

Claims 1-14 are pending in the present Application. Herein, the Applicant amends claims 1 and 13. The Applicants respectfully submit that no new matter has been added.